

# Green Development and Demonstration Programme (GUDP)

Invitation of applications for The Organic Research, Development and Demonstration Programme (Organic RDD) 7

Application deadline 4 May 2021 at 12.00



#### Colophon

#### **Green Development and Demonstration Programme**

Invitation of applications for funding under The Organic Research, Development and Demonstration Programme (Organic RDD) 7

This invitation has been prepared by The Ministry of Food, Agriculture and Fisheries of Denmark, the GUDP-secretariat and ICROFS

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# Invitation of applications for The Organic Research, Development and Demonstration Programme (Organic RDD) 7

The board of the Green Development and Demonstration Programme (GUDP) invites applications for funding of projects which carry out research and development and/or demonstration in relation to business-oriented innovation within the Danish organic food sector by means of a green conversion. The projects must support both green as well as financial sustainability, and GUDP places great emphasis on the news value, impact and relevance of the projects.

The legal framework for grants under the Green Development and Demonstration Program (GUDP) can be found on the Danish legislation information website and GUDP's website.

The applicable rules appear (in Danish) from:

Lovbekendtgørelse nr. 23 af 4. januar 2017(Consolidation Act no. 23 of 4 January 2017) Bekendtgørelse nr. 1069 af 13. september 2017(Consolidation Act no. 1069 of 13 September 2017) The legal basis for ICROFS' call is to be found <a href="https://example.com/here/be/legal-basis-nr.">https://example.com/here/be/legal-basis-nr. 1069 af 13. september 2017(Consolidation Act no. 1069 of 13 September 2017)</a>

#### A total funding of up to approx. DKK 45 million for Organic RDD 7 is available in 2021.

The funds available for this application round have been made available to the GUDP as part of the political agreement on the allocation of the research reserve funds for 2021<sup>1</sup>. The funds are earmarked for organic farming and food systems and are implemented in a collaboration between GUDP and ICROFS (International Centre for Research in Organic Food Systems). Within the framework of GUDP - the legal basis and GUDP's strategy 2019-2022 - this application round is targeted at selected challenges within organic food production, and the call and application material have been adapted accordingly.

This call covers applications for research projects including development and/or demonstration (NOT pure research projects). Projects may apply for a total grant of DKK 250,000 to DKK 8 million - projects applying for less than DKK 8 million are very welcome! At least 80 percent of the funding is earmarked for projects with a business plan (Type 1 – is described on page 8), while up to 20 percent of the funding may be used for projects focusing on the contribution of organic farming to public goods without a business plan (Type 2 – is described on page 8). The final distribution of funds will depend on the submitted applications.

#### Deadline for applications is Tuesday 4 May 2020 at 12.00

- Applications incl. annexes must be received no later than 12.00 on the date of application.
- Applications received after the deadline will <u>not</u> be considered, regardless of the time they
  were submitted. Please be aware that you may experience a delay from the time the application is submitted until it is received at GUDP.
- Be aware that e-mails with a total file size of more than 25 MB cannot be handled by GUDP's servers.

<sup>&</sup>lt;sup>1</sup> Agreement on allocation of the research reserve funds in 2021 <a href="https://ufm.dk/lovstof/politiske-aftaler/endelig-aftale-om-fordeling-af-forskningsreserve-og-mere.pdf">https://ufm.dk/lovstof/politiske-aftaler/endelig-aftale-om-fordeling-af-forskningsreserve-og-mere.pdf</a>



• In order for an application to be considered for funding, the application deadline and the formal requirements described in the present call and the guidelines must be met.

#### Before you apply

We kindly suggest that you carefully read through this call and the 'Guideline for completing the application forms for the Organic Research, Development and Demonstration Programme (Organic RDD) 7', the strategy of the GUDP-board 2019-2022 as well as <a href="ICROFS">ICROFS</a>' Research and Development Strategy 2019-2021 before preparing an application. Furthermore, we recommend that you take a look at the instructions on payment of grants under GUDP (only in Danish).

Also, be aware of other grant schemes for research, development, demonstration and market maturation in the food sector and consider which scheme is most relevant to your project before applying. Lists of grant schemes can be found among others at State Grant Schemes: https://www.statens-tilskudspuljer.dk/ and in the Grant Guidelines of The Danish Agricultural Agency https://lbst.dk/tilskudsguide/ as well as at http://icrofs.dk/soeg-forskningsmidler/.

#### Information meetings and individual feedback

Information meetings are held by the ICROFS-secretariat in connection with the call. At the information meetings you will be able to hear more about how to fill in the application forms. Virtual information meetings have been planned on Wednesday 3 February and Thursday 11 February 2021. Keep an eye on ICROFS.dk for further information and registration.

You can also get individual feedback on your project idea by submitting a project outline of maximum two pages to the GUDP- and ICROFS-secretariats. You can find the template for the project outline here: Kan min gode idé blive et GUDP-projekt (in Danish). The project outline must be sent via e-mail to icrofs@icrofs.org with cc to gudp@lbst.dk, and the secretariat strives to have a maximum processing time of one week.

# Requirements for the application

#### Application forms and guidelines

The application forms and the guidelines for filling in the application forms can be found <u>here</u> at GUDP's website'. It is a requirement that GUDP's application forms are used and that they are submitted within the deadline. Please note that you must not change the application material.

#### An application consists of:

- Form A: Main application form
- Form B: Budget form, Gantt diagram, lists of milestones and deliverables
- Form C: Participant form
- Form D: Additional business plan (if relevant)
- Form E: Description of the research part and content of the project
- Form G: Business Model Canvas
- CVs for project manager and relevant key persons



Up to 4 pages of enclosures – e.g. references, illustrations, technical drawings or supplementary calculations of the project effects.

#### Language

The application must be written in English and all forms must be completed in English. However, a brief project description in Danish is required in Form A.

#### **Submission**

The application must be submitted by e-mail to gudp@lbst.dk. This includes:

- All relevant application forms, CVs and enclosures gathered in one PDF file. The total PDF file should be submitted in a non-scanned version without signatures as well as a scanned version with all signatures.
- Form B must be attached in a separate Excel file.
- Form E must be attached in a separate PDF file. Be aware that only one Form E must be submitted which must include all relevant CV's.
- Form G must be attached in a separate PDF file

#### Acknowledgement letter confirming receipt of the application

Within a few days from receiving your application, the GUDP-secretariat will send an acknowledgement letter confirming that we have received the application.

#### Applications may be rejected

Applications may be rejected if they do not comply with the GUDP Act and the requirements stated in this call and the associated guidelines.

#### When will approvals and rejections be announced?

We expect to announce approvals and rejections for grants by the end of 2021.

# Requirements for applicants and projects

#### Who can apply for grants?

- Company registered persons
- Private companies
- Trade associations
- Associations/unions
- Self-governing institutions
- Research institutions

All applicants must be registered in the Danish Central Business Register ('Det Centrale Virksomhedsregister – <u>CVR.dk</u>'). In relation to grants, Advanced Technology Groups (GTS), trade/industry



associations, associations and self-governing institutions are considered as private companies. Foreign research institutions or companies cannot participate in a project as project participants, but they may, however, act as external advisors.

#### Grants are provided for?

GUDP can provide grants for applied research projects including development and/or demonstrations activities. The project must at the same time promote both green and economic sustainability and thus have what GUDP calls "the double bottom line".

Green sustainability is about 'the credibility of organic farming', 'resilient systems' as well as 'the contribution of organic farming to public goods', including the environment, climate, sustainable use of resources, gentle production methods, animal welfare, food security, human health and nutrition as well as food quality.

Economic sustainability can be seen in the proceeds of the project and the further economic impact of the project's idea, method or technology becoming widespread in the organic food sector.

#### It could be new:

- Technologies
- Tools
- Production systems
- Analytical methods
- Processes
- Products
- Management and logistics solutions

#### Grants are not provided for?

- Pure research projects
- Pure investment projects
- Development of innovation competences
- Educational and course activities and similar
- Marketing and test marketing
- Collective generic campaigns (e.g. joint trade organisation campaigns)
- Special innovation activities (borrowing staff)

#### Organic principles and regulations

Grants are only given to projects if the applied methods are compatible with the organic principles<sup>2</sup> and regulations<sup>3</sup>. Project activities are thus expected to be accomplished according to the existing regulations for organic farming and using experimental fields, plants, animals and other material of organic origin. In case this is <u>not</u> possible, this fact must be clearly indicated and accounted for in the application.

<sup>2</sup> https://icrofs.dk/en/about-icrofs/what-is-organic-farming/organic-principles/ http://www.ifoam.bio/principles-organic-agriculture-brochure

<sup>3</sup> http://lbst.dk/tvaergaaende/oekologi/lovstof/



#### Project types eligible for grants

#### Type 1 (with business plan):

Projects with applied research content including development and/or demonstrations activities (but NOT pure research projects). The project must improve both green and economic sustainability and thus have what GUDP calls 'the double bottom line'. A business plan demonstrating the utilization of the project results must be prepared.

#### Type 2 (without a business plan):

Projects with applied research content including development and/or demonstrations activities (but NOT pure research projects). The project is focusing solely on public goods and green sustainability effects, and the project results cannot be turned into a financial gain for the individual farmer or company. A business plan is NOT to be prepared for the project.

Both Type 1 and Type 2 projects are projects in which one or more participants accomplish a number of interdependent and supporting activities such as:

- Applied research activities that are related to planned research and critical studies to acquire new knowledge and skills that can be used to develop new products, processes or technologies (see complete list above under 'Grants are provided for?'). The results of the activities carried out are not expected to be commercialized in the short term. Research activities alone cannot constitute an Organic RDD project.
- <u>Development activities</u> that develop new or improved products, processes or technologies based on the acquisition and use of existing scientific and other relevant knowledge and skills. Development activities may include commercially applicable prototypes. The new products, processes or technologies are expected to be applicable, including commercialized, in the short term.
- <u>Demonstration activities</u> with the purpose of disseminating knowledge on the application of research and/or development activities to a wide range of actors. Demonstration includes dissemination of scientific or technical knowledge etc. that is <u>not</u> distortive and does not market/resemble marketing of specific products or services. Meaning:
  - o All stakeholders can get access to the demonstrated knowledge, and
  - Demonstration does not include products or services that must be bought, to make use of the demonstrated knowledge/practical solution.

#### ICROFS' purpose, strategy and focus areas of the call

<u>The purpose of ICROFS</u> is to coordinate, execute and disseminate stakeholder oriented, high quality research. On behalf of GUDP, the Ministry of Food, Agriculture and Fisheries of Denmark, ICROFS coordinates the national research programme Organic RDD 7. <u>ICROFS' Research and Development Strategy 2019-2021</u> forms the basis of Organic RDD 7. The research and development strategy has been prepared by ICROFS with broad involvement of primary producers, industry and trade, organizations, consumers, research institutions and authorities.

The research must contribute to a further development of a sustainable, market driven and competitive Danish organic sector and thereby promote continued growth of the organic sector in Denmark. All initiatives must be based on the organic principles and the EU Council Regulation on organic



production. Through the research activities ICROFS will further contribute to the development and expansion of organic farming and food systems as instruments for achieving important public goods and objectives concerning the environment, climate, biodiversity, protection of drinking water, animal welfare and health, rural development, as well as UN's Sustainable Development Goals.

Organic agriculture and food production are experiencing positive and consumer-driven growth based on sustainability, consumer confidence, innovation and strong values. The EU Council Regulation on organic production defines organic production as a comprehensive system for agricultural management and food production, combining best practices within environment and climate, high biodiversity, conservation of natural resources and the application of high animal welfare standards and high production standards, which is consistent with increasing consumer demands for products that are produced using natural substances and processes. In addition, the four basic principles of organics - Health, Fairness, Ecology and Care - are closely linked to the UN's Sustainable Development Goals, which recognize that social, economic and environmental development are closely linked and require integrated efforts.

Organic production thus plays a dual role in society - on the one hand supplying a specific market that meets consumer demand for organic products - and on the other, providing public goods.

ICROFS research and development strategy must therefore support the development of organic farming and food systems in order to supply the market as well as meeting the needs of society.

#### Success criteria for the projects

ICROFS' research and development strategy aims for practice-oriented solutions and research, which is carried out in close collaboration between farmers, companies, authorities, consumers and research environments in order to develop research-based, innovative and competitive solutions and document these in relation to consumers and society. This is reflected in the following four key success criteria to be promoted in the research projects:

- SUSTAINABILITY the projects must contribute to sustainable production systems that
  protect the climate and the environment, be based on recycling and sustainable use of
  resources, and support a high degree of biodiversity, animal welfare and health for soil,
  plants, animals and humans
- INNOVATION the projects must contribute to innovative production systems that meet consumer and community demands for organic products
- GROWTH the projects must contribute to productive and efficient production systems that support continued sustainable growth in organic production
- CREDIBILITY the projects must contribute to the credibility of organic farming and food systems in relation to the basic principles and the contribution to public goods such as protection of climate, environment and drinking water, as well as a high degree of biodiversity and animal welfare.



#### The focus of Organic RDD 7

ICROFS' <u>Research and Development Strategy 2019-2021</u> addresses the central challenges and potentials of organic farming and food systems through six focus areas. The individual focus areas are described in detail in the strategy.

For Organic RDD 7, you can apply within all six focus areas, however, in particular we welcome projects with a starting point in or integrating the focus areas:

- THE ORGANIC CONSUMER OF THE FUTURE and
- ORGANIC FARMING FOR A LIVING

with the challenges that the organic farmer and the organic companies face in relation to the other four focus areas:

- CIRCULAR BIO-ECONOMY
- CLIMATE AND ENVIRONMENT
- BIODIVERSITY
- HEALTH AND WELFARE

Applications must address selected topics within the focus areas but interdisciplinary applications that include holistic approaches and synergies between the focus areas are also welcome.

The development of the organic sector must be sustainable for the farmers as well as for the society, and must meet the expectations of consumers and society. Therefore, innovation, research and development are welcomed within the following topics in particular:

- robust and sustainable production systems and forms of collaboration focusing on resource efficiency, climate and environment, increased diversity, new products, new technologies, precision technology, circular bio-economy, local production and processing (e.g. of protein for animal feed and human food), and consumer demands
- documentation and quantification of the contribution of organic farming to public goods such as biodiversity
- knowledge about and dialogue with the consumers regarding their demands and expectations for the organic production, new methods and technologies, new products, product quality and the contribution of organic farming to public goods such as animal health and welfare
- sustainable and local supply chains, the origin of foods, changes in the composition of the human diet, and cooperation across e.g. production, retail, the catering sector/restaurants and consumers
- health throughout the entire chain from soil, plants, animals to humans. Including maintenance and build-up of soil fertility and carbon storage, development of organic cultivation and production systems and technologies, animal health and behavioural needs, as well as the nutritional and health qualities of food products



# **GUDP** and ICROFS prioritisation and selection criteria

Organic RDD projects must contribute to six parameters as illustrated in ICROFS' spider web (see figure 1). The parameters correspond to the six prioritization criteria used for the evaluation of the projects. GUDP and ICROFS would like to see both projects that focus on one of the green parameters and projects with a more holistic approach. Applicants must aim to ensure that improvements in one area do not have significant negative impact on others.

For the green parameters, regardless of whether the project has an effect on several parameters, as a rule only the most important effect is to be quantified, while any other green effects can be described in prose. However, if it is a holistic project that has several effects, the main effects should all be quantified. In addition to the effect of the projects on the parameters in the spider web, GUDP and ICROFS place particular emphasis on the novelty value and relevance of the projects, as well as the professional quality and the anchoring of the project in the industry. The ICROFS-board prioritizes between the best project applications in relation to what the board estimates will have the greatest effect on organic production and development.

The prioritization criteria correspond to the parameters indicated in the ICROFS spider web (see figure 1 next page). It is important to note that a project does not need to have an effect on all the parameters in the spider web, however:

- Type 1 projects <u>must</u> have one green and one economic effect as well as effect on dissemination and stakeholder involvement
- **Type 2 projects** <u>must</u> at least have an effect on the contribution of organic agriculture to public goods and effect on dissemination and stakeholder involvement.

#### Project type 1:

The application will be evaluated according to the 6 prioritization criteria in ICROFS' spider web. Three criteria are concerned with green sustainability (including public goods), two criteria are concerned with economic sustainability and the last criterion is about dissemination and stakeholder involvement. An elaboration of the criteria is provided below. Each project will be evaluated as a whole, i.e. the total weighting of the various parameters relevant to the actual project is considered.

All type 1 project applications will be evaluated according to their contribution to green sustainability (criteria 1, 2 and 3) and economic sustainability (criteria 4 and 5) as well as dissemination and stakeholder involvement (criterion 6).

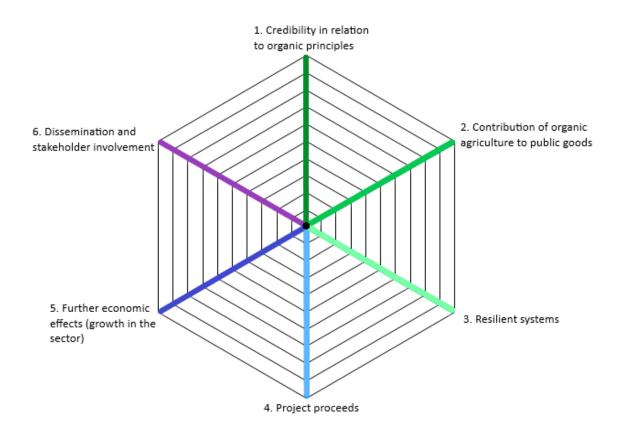
#### Project type 2:

Projects under project type 2 are focusing exclusively on the contribution of organic agriculture to public goods and green sustainability effects, and the project results cannot be turned into a financial gain for the individual farmer or company. The projects will be evaluated in relation to their perspectives and potential to encourage new development concerning the contribution of organic agriculture to public goods and green sustainability effects, including an elaboration of these contributions and the opportunities to increase these.



Applications under project type 2 will be evaluated according to their contribution to public goods (criterion 2), and dissemination and stakeholder involvement (criterion 6). In case the applicants consider that the projects may also contribute to the other criteria applying to project type 1 (with a business plan), they are free to quantify their contribution to the criteria 1, 3, 4 and 5. If the project has effects on criteria 4 and 5, applicants should consider whether it should be applied for as a type 1 project.

Figure 1: ICROFS' spider web, the six prioritisation criteria that will be used in the evaluation of the project applications



The three green sustainability criteria – the project must have at least one green effect. For type 2 it must be on/include the contribution of organics to public goods. There is an overlap between the three criteria, and it can be difficult to say unequivocally that an effect belongs to one or the other criterion – an effect must, however, only be described under the criterion you find most appropriate.



#### 1 Credibility in relation to organic principles<sup>4</sup>

The credibility of organic farming in relation to the organic values and principles is a prerequisite for consumers to maintain their confidence in organic products and thus for continued growth of the organic sector.

Contributions to an increased credibility in relation to organic principles must be described and the applicants should aim to quantify the expected project contributions in the application. Some examples are given below, and the applicants may include more.

- Improved soil fertility the project's contribution to improved soil fertility, including texture, water, biological activity, nutrition, carbon sequestration etc.
- Circular bioeconomy/recirculation the project's contribution to increased recirculation both within the individual farm and in interaction with society.
- Optimization of the food system the project's contribution to optimizing resource utilization and reducing the environmental and climate impact of the food system as a whole.
- Sustainable use of resources the project's contribution to a sustainable use of resources and utilization of local resources, including energy consumption, optimization of nutrient cycles/recycling and an optimization of resources within food production indicated as added value per input<sup>5</sup>.
- Minimized nutrient surplus the project's contribution to recirculation and reduction of nitrogen and phosphorus surplus per hectare or animal unit (provided that the results will be expanded subsequently)
- Careful processing the project's contribution to the development of careful processing of raw materials, use of natural conservation methods and additives, etc.

#### 2 The contribution of organic farming to public goods

The contribution of organic farming to public goods is also a prerequisite for consumer confidence, but many of the contributions to public goods may be more distant to the individual consumer. The EU Council Regulation's principles for organic farming<sup>6</sup> include the importance of working on soil fertility, biodiversity, animal welfare and sustainable production and processing in relation to the environment and climate. This focus contributes to making organic agriculture an important societal contributor.

It is assumed that the identification and development of organic agriculture's contributions to public goods will strengthen the entire sector.

Focusing on how organic farming increasingly meets these principles will ensure that organic farming and aquaculture will be among the most powerful opportunities for a future with more

<sup>&</sup>lt;sup>4</sup> https://www.ifoam.bio/principles-organic-agriculture-brochure

<sup>&</sup>lt;sup>5</sup> Examples of effects based on sustainable utilization of resources:

<sup>·</sup> Same output produced with less input

<sup>•</sup> Same input produces a larger output (including utilization of waste products)

<sup>•</sup> Same output is refined with the same or less input

<sup>&</sup>lt;sup>6</sup> Council Regulation (EC) No 834/2007 of 28 June 2007 on organic production and labelling of organic products. https://eur-lex.europa.eu/legal-content/DA/TXT/PDF/?uri=CELEX:02007R0834-20130701&from=EN
https://www.foedevarestyrelsen.dk/Leksikon/Sider/Ny-oekologiforordning-fra-1-januar-2021.aspx



environmentally friendly primary production that can support the efforts in relation to climate plans, the Water Framework Directive, Natura2000 and a reduction of the pesticide consumption.

Contributions to the identification or development of the contributions of organic farming to public goods must be described, and applicants should seek to quantify the contributions they expect from the project. Below is provided some examples of topics for which knowledge on or development of the contribution of organic farming to public goods could be included. The applicants may include more, but it is recommended to study the knowledge synthesis 'The Contribution of Organic Farming to Public Goods'<sup>7,8</sup>.

- Nature and biodiversity e.g. increased biodiversity the project's contribution to an increased biodiversity, including agricultural as well as wildlife biodiversity and preferably at the levels of gene, species and landscape.
- Environment the project's contribution to an improved environment including water environment and ground water.
- Energy and climate the project's contribution to a reduced emission of climate gases (CO2 equivalents) including carbon sequestration in soil (provided that the results will be expanded subsequently)
- Human health and welfare Improved human health and welfare the project's contribution to human health and welfare, including food safety, nutritionally improved diets, energy intake, dietary supplements, but also to the quality of life and absence of pesticide residues, resistance to antibiotics, substances hazardous to health etc.
- Animal health and welfare Improved animal welfare the project's contribution to improved animal welfare, including health, nutrition, natural behaviour and the absence of pain, fear and stress, etc.
- Reduced use of antibiotics the project's contribution to a reduction in standard treatments with antibiotics per animal unit (provided that the results will be expanded subsequently)
- Reduced use of pesticides the project's contribution to increased conversion to organic production which will result in reduced use of pesticides.
- Industry and rural districts the project's contribution to the development of the sector, focusing on knowledge on the future organic consumer and conditions that improve development opportunities and working conditions.

#### 3 Resilient systems

To ensure a balanced growth of supplies, it is important to ensure efficient and resilient farming systems in a biological as well as economic sense. In the context of organic farming, higher productivity is based in particular on optimizing the way in which the individual biological components mutually contribute and support each other, the manager's abilities within resource management, cost optimization, observation and understanding of the biological factors included in the farm operations; whether it is knowledge of the ecosystem services linked to the soil's fertility and yield, functional biodiversity or regulation of animal diseases.

<sup>&</sup>lt;sup>7</sup> http://icrofs.dk/fileadmin/icrofs/Diverse\_materialer\_til\_download/Vidensynte\_WEB\_2015\_\_Fuld\_laengde\_400\_sider.pdf

<sup>&</sup>lt;sup>8</sup> http://icrofs.dk/fileadmin/icrofs/Diverse\_materialer\_til\_download/web\_OKvidensyntesen\_okt\_2015.pdf



It is worth noticing that optimization and efficiency at this level requires both very specific knowledge of the individual components as well as knowledge on system level. At the same time, it is important to clarify the impact of processing on the quality of the products.

Resilient systems enable the farmer to produce under different conditions (e.g. variation in climate) with less fluctuations in yield.

Contributions to resilient systems must be described and the applicants should aim to quantify the expected project contributions. Some examples are given below, and the applicants may include more.

- Ecosystem services the project's contribution to augment ecosystem services such as soil fertility and yield, structure and water holding capacity, functional biodiversity and regulation of animal diseases and at the same time how to reduce the risks of long-term problems with e.g. pests, diseases and perennial weeds.
- Adequate access to nutrients the project's contribution to ensure access to nutrients for the primary production e.g. through optimization of nutrient cycles/recycling.
- Resistance to external pressure and change the project's contribution to develop production systems in which the individual elements mutually support and compensate for each other in case of unexpected changes in the environment and to resist pressure in the form of diseases/pests, climate changes and market fluctuations.
- Technology and knowledge the project's contribution in the form of technology and knowledge that increase the capacity for observation/monitoring, prevention, decision support and control/management including the implementation of operational diversity in the form of multi-functionality, farm collaboration and/or integration of the value chain in order to ensure a natural foundation and financial profitability (social innovation).
- Resource management and cost optimization the project's contribution to e.g. increased recycling/reuse of resources on the farm/company, implementation of renewable energy, resource-saving technologies and systems, etc.

#### The two criteria concerned with economic sustainability

#### 4 Project proceeds

The financial revenue generated by the project results for the grant receivers in year 1, 2, and 3 after the finalization of the project. Proceeds are only valid for the 3 years after project completion.

#### 5 Further economic effect

Project potential for economic effects in relation to dissemination within the sector as well as the socio-economic impact. The effect only applies to Companies / Persons etc. outside the project participant group.



#### Criteria concerned with dissemination and stakeholder involvement

#### 6 Dissemination and stakeholder involvement

As part of the project description, the applicant must prepare a communication plan for dissemination as well as user and stakeholder involvement (Form A) and a list of milestones and deliverables in that connection (Form B). This plan must include a description of the parties with an interest in the project idea and results and must describe how the project results are communicated to the relevant target groups. The project's communication plan for dissemination and stakeholder involvement is included in the assessment of the project. See the 'Guideline for completing application forms for Organic RDD 7', Item A28.

#### It is important that:

- Relevant target groups, users and stakeholders are identified
- The project efforts, results and perspectives are made widely visible to others, and that the results are implemented with the users, stakeholders and in the sector
- The project involves, communicates with and disseminate to relevant target groups and involves the users, stakeholders and the sector in an on-going dialogue about the project, preferably as project participants or as part of an advisory group.
- The project results and perspectives are disseminated in the form of peer-reviewed scientific publications.

During the project period, the project must continuously contribute to dissemination in Danish as well as English via ICROFS' communication channels. The project manager and 1-2 project participants should participate in annual status meetings and sufficient time and funds should be allocated to these meetings. The project manager is expected to contribute to the dissemination of project results at the end of project as well as at the end of the entire programme.

Read more about GUDP's Strategy for 2019-2022 and the Action Plan for 2020 at www.gudp.dk and about ICROFS' Research and Development Strategy 2019-2021.

#### Requirement for quantification of project impact

A prerequisite for obtaining grants from GUDP is that the project creates concrete, measurable results that can be quantified and preferably documented in the application. When describing project impact in accordance with the spider web, the starting point must be indicated so that the project's contribution can be identified and quantified as far as possible. The Guidelines for Organic RDD 7 specify how the quantification of the individual parameters must be indicated.

The applicant must explain how the project can contribute to improvements. The expected project impact is included in the concrete assessment of the applications, and it is therefore important that the specified effects are assessed realistically and that the calculations are substantiated.

#### Commercialization and business plan

As funding from GUDP is granted with high priority to projects with commercial potential, business plan(s) are thus an important part of the project application. However, projects focusing on the contribution of organic farming to public goods (Type 2) are exempted from this requirement and do not



have to prepare a business plan. (See detailed information in the section 'Funding and commercial issues')

#### Focus on the entire value chain

GUDP focuses on the entire value chain and emphasizes that the projects include participation from the different parts of the value chain that are relevant for the project, e.g. production, processing, marketing and transportation.

#### **Bridging the food sector**

GUDP wishes to support bridging, cooperation and sharing of knowledge between researchers, the industry, farmers, aquaculture activities as well as actors from other sectors with expertise that may benefit the entire food sector.

#### Research and justification of project knowledge

GUDP projects must contribute to green conversion of the Danish food industry through ambitious and business-oriented innovation. With the funds, GUDP will promote collaboration across industries, knowledge institutes and companies to ensure that experiences and results are documented and can be used in the future.

Research should be included in the projects as a relevant means of achieving specific development and demonstration goals that encourage a well-documented access to the market, and projects that receive public research funding must involve and engage the industry as an active partner.

#### International cooperation may provide added value

It is possible to use international cooperation partners in cases where this may provide added value to the project. However, they cannot be included as project participants but be used as external assistance.

#### **High-risk projects**

GUDP may provide grants for projects that involve significant risks but have equivalently high potential if the project succeeds. Therefore, GUDP grant approvals can be conditional to supplementary investigations by the applicant on whether and how the project can be implemented. The investigations must identify the project's risks and highlight the potential benefits. If GUDP deems it necessary that such investigations are needed on the feasibility of the project, the applicant will be notified by the GUDP-secretariat, which will also provide details of the conditions.

# **Funding and commercial issues**

#### Active participation and co-financing

GUDP intends to further cooperation between different actors across sectors and industries to create synergy and strengthen knowledge sharing. Therefore, it is important that the projects involve partners from trade and industry, and it is a requirement that research and knowledge institutions contribute with a co-financing of at least 10 percent.



#### Subsidy rates

EU legislation on state subsidies sets the overall frame for the subsidy rates. It is important that the applicant provides accurate information on the activity type, project type and size of the companies involved. This is a prerequisite for GUDP to apply the correct subsidy rates (see Table 1). If too much subsidy has been granted it must be paid back with interest.

Table 1. Maximum subsidy rates for GUDP projects\*

Company size	Research and knowledge dissemination organizations	Small companies		Medium-sized companies		Large companies		
Project type		Individual	Coope- ration	Individual	Coope- ration	Individual	Coope- ration	
Activity type								
Applied research	90 pct.	70 pct.	80 pct.	60 pct.	75 pct.	50 pct.	65 pct.	
Development	90 pct.	45 pct.	60 pct.	35 pct.	50 pct.	25 pct.	40 pct.	
Demonstration*	70 pct.	70 pct.	70 pct.	70 pct.	70 pct.	70 pct.	70 pct.	

<sup>\*</sup> Research and knowledge dissemination organisations can achieve a maximum subsidy of 100 pct. (however, maximum 90 pct. from GUDP) of the eligible costs for the implementation of non-commercial research and development activities, provided that the research results of the research institutions is subject to continuous publication, including education.

#### Requirements for collaborative projects

In order to receive an increased subsidy for cooperation projects, it must be a collaboration between companies, of which at least one is an SME, and where no individual company has a budget of more than 70 pct. of the eligible costs. Or the collaboration must be between a company (regardless of size) and a research and knowledge dissemination institution, where the latter has a budget of at least 10 per cent of the eligible costs.

In collaborative projects with an increased subsidy rate, the project results must be widely disseminated via conferences, publications, open access archives, free software or open source software. However, this does not apply to confidential information.

Trade organizations and the like as well as private research and knowledge dissemination organizations

Trade organizations and the like as well as private research and knowledge dissemination organizations are regarded as large companies in connection with the determination of the maximum subsidy rates. If the applicant can present documentation that the organisation or institution (the entire organisation or institution) fulfils the EU Commission's definition of small or medium-sized enterprises (SMEs) a subsidy rate corresponding to the size of the company can be given.

<sup>\* 70</sup> pct subsidy to demonstration can only be achieved in project related to primary agricultural production and processing of primary agricultural products.





#### Allowable expenses and costs

Expenditure items that are eligible for funding are described in the application guidelines under Form B.

#### **Commercial repayment**

If a commercial exploitation of the results gives the beneficiary a net proceeds over a period of three years after the end of the project, there might be specific cases where repayment must be made<sup>9</sup>. The reimbursement payment cannot exceed the amount of the grant. Further information on this can be found in the Guidance on Reimbursement of Grants on the GUDP website.

#### **Business plan**

GUDP emphasizes the commercial perspectives of the projects and to ensure that the business part of the projects is thought through, all projects must complete a Business Model Canvas and answer additional questions in the application form. However, this does not apply to projects that focus on the contribution of the organic sector to public goods (type 2 projects). These requirements should together provide an overview of the business model, organization and economy of the intended product(s). The information should be based on facts with references to relevant sources. It is important to support the information with data describing e.g. market size and growth rates.

For more information about business plans, see 'Guideline for completing the application forms for The Organic Research, Development and Demonstration Programme (Organic RDD) 7' (A29-A34) here.

# Procedure for the processing of applications

The processing of the applications received will be based on the submitted material. The ICROFSand the GUDP-secretariats will review the projects. The scientific quality will be evaluated by an international panel of experts on the basis of Form E.

#### The role of the ICROFS-board

The ICROFS-board plays a central role in the assessment of applications with due respect of the general rules of impartiality and confidentiality. The ICROFS-board evaluates all applications and – based on the review by the ICROFS-secretariat as well as the evaluation made by the international panel of experts – the ICROFS-board submits to the GUDP-board a substantiated proposal for program composition.

During the processing of applications, the ICROFS- and GUDP-secretariats may contact the project manager with clarifying questions. However, it will not be possible to provide new information that improves the application. In special cases, the secretariats may also seek professional advice from external experts. ICROFS and the GUDP-secretariat ensure that external experts are impartial and impose on them confidentiality in the processing of the application material.

<sup>&</sup>lt;sup>9</sup> Cf. § 41 of Bekendtgørelse nr. 1069 af 13. september 2017(Consolidation Act no. 1069 of 13 September 2017)



#### Scientific evaluation

Innovation Fund Denmark (InnovationsFonden) has approved that the ICROFS-secretariat will be performing the scientific evaluation of the projects based on input from an international panel of researchers, which has been approved by Innovation Fund Denmark, cf. the Fund's model 1 for scientific evaluation. The international expert panel is evaluating the scientific quality of the application (Form E) as well as the qualifications of the participating researchers.

The assessment of the scientific quality is based on Form E and the included CV's as well as on Form B including the Gantt chart as well as lists of milestones and deliverables. In Form E, the research content, problem description, hypothesis, theoretical background, research plan and methods, time schedule, expected results and risks must be accounted for, including a description of the new knowledge this research contributes to. In addition, the participating researchers' qualifications must be described. Be aware that only one Form E must be submitted and not one form E for each participant in the project.

Form E must be submitted as a separate file. That means that relevant CVs <u>must</u> be attached, even though they are also included in the main application. Information on the research content <u>must</u> be elaborated as a separate statement (Form E). The Innovation Fund Denmark (InnovationsFonden) make their final assessment of the scientific quality on the basis of the evaluation performed by the international expert panel. If the scientific quality is not considered eligible for support, the project cannot receive a grant. If the scientific quality is considered eligible for support, the ICROFS-board will use the other comments from the panel as part of the basis for their decision

# Consultative procedure in relation to scientific evaluation and the ICROFS-board recommendation

As external advice has been obtained from the ICROFS-board, scientific experts and from the Innovation Fund, the applicant has the right to comment on the external assessment during the consultative procedures. This is done in order to clarify whether the evaluation is based on misunderstandings in relation to the application. The applicant's comments may be presented to the persons responsible for the external scientific advice in order to clarify any misunderstandings.

#### Confidentiality

All applications will be handled with confidentiality within the framework of the Danish Act on Public Administration (Lov om offentlighed i forvaltningen).

#### **Publication of applications**

For each application round, the GUDP-secretariat publishes a list of applications received. Once the GUDP-board has selected the projects that will receive grants, project titles, grant sums, names and contact information of project managers will be published on <a href="www.gudp.dk">www.gudp.dk</a>. The grant sums may appear in total and/or distributed on the individual participants. Corresponding information may be published for the projects that have received rejections. For all projects that receive grants the title, participants, total budget and grant agreements, start and end dates and the short project description from the application are also published in 'Grøn Projektbank' on <a href="https://groenprojektbank.dk/">https://groenprojektbank.dk/</a>.



GUDP collaborates with InnovationDanmark's database, which contains information on all state research and innovation tools. InnovationDanmark records project title, grant status, year of application, project start and end date, CVR number, organisation or company type and grant sums and budget per project and per project participant. Furthermore, GUDP reports the statutory information to the EU Commission's funding beneficiary register [The Financial Transparency System (FTS)]<sup>10</sup>.

# **During and after the project**

The ICROFS-secretariat is organizing an annual meeting for all Organic RDD projects. At the meeting new projects are introduced to the programmes, dissemination, etc.

During the project process, a scientific employee from the ICROFS- and GUDP-secretariats are associated with the project, who regularly are in dialogue with the project manager and follow the project. The scientific employee from ICROFS must be invited to all project meetings and strives to participate at least once a year. Employees from the GUDP-secretariat may also, in some cases, participate as observers in the project steering group or in advisory groups for a group of related projects.

#### **Progress and final reports**

All Organic RDD projects must submit an annual project report on progress and results around April 1. The first report on progress and results is to be submitted when the project has been running for more than eight months. ICROFS sends instructions regarding status reports directly to the project managers.

When an Organic RDD project is completed, a final report must be submitted no later than three months after the project has ended. The report must describe the content of the overall project, including the activities of the project, challenges along the way, how they were handled and the results achieved. ICROFS sends instructions regarding the final report directly to the project managers.

As part of the final report, the project manager must ensure that all disseminated project material (that is e.g. scientific articles and other publications, conference papers, workshops, etc. as well as any press material and links to journalistic coverage of the project in the media) is uploaded to <a href="Organic Eprints">Organic Eprints</a>. Together with the final report, a list of the disseminated material is prepared with links to each in Organic Eprints.

Part of the final report will be published on <a href="www.gudp.dk">www.gudp.dk</a> and in Grøn Projektbank, It appears from the template for the final report which sections that are to be published.

<sup>&</sup>lt;sup>10</sup> Further information on the Danish Business Authority (Erhvervsstyrelsens) website under 'EU statsstøtteregistrets indberetningssystem'.



# **Communication and reporting**

The results of an Organic RDD project should be disseminated to the largest extent possible so that other companies and researchers can use them and build on them. Furthermore, the good stories about green innovation in the food industry must be disseminated to a wider public audience when the results are of general public interest. Therefore, communication is an important part of all Organic RDD projects.

It must be clear from the communication in connection with all forms of dissemination of a project that it is funded by GUDP through the Organic RDD programme, which is coordinated by ICROFS.

#### Project dissemination via ICROFS' communication channels

The ICROFS-secretariat prepares, in collaboration with the project manager, a website for each of the projects that receive approval of grants. In addition, the ICROFS-secretariat prepares an article or press release in dialogue with the project manager. It will be published on ICROFS', GUDP's and the project's website and contains a description of the project, quotes for press releases, if possible, and photos provided by the project. It should also be possible for journalists to use the article in whole or in part in connection with media coverage of the project. Previous Organic RDD project descriptions can be seen at ICROFS' website: <a href="http://icrofs.dk/forskning/dansk-forskning/">http://icrofs.dk/forskning/dansk-forskning/</a>.

During the project, the progress reports can also be used in GUDP's news dissemination in a collaboration between the secretariat and the project manager.

No confidential information from the projects will be published<sup>11</sup>, please see below under publication.

In connection with project completion, the project manager will receive a template for final dissemination. In collaboration with the project manager, ICROFS prepares relevant final communication products about the results of the project, how end-users can apply this knowledge in practice, and additional knowledge needed within the project's subject area. ICROFS will disseminate the end results of the project via its own communication channels and possibly external media.

#### **Publication of final reports**

As a general rule, the report's non-confidential conclusions will be published digitally in 'Grøn Projektbank' and on <a href="www.gudp.dk">www.gudp.dk</a>. By agreement, the results can also be conveyed as news items on <a href="www.gudp.dk">www.gudp.dk</a>, as press releases and targeted inquiries to relevant media.

# Processing of personal data - GDPR

GUDP applications are treated confidentially within the GUDP- and ICROFS-secretariats and are, as a rule, not handed out to outside parties. However, it is possible to apply for access to documents

<sup>&</sup>lt;sup>11</sup> The assessment of whether the information is confidential or not is carried out in accordance with the applicable rules of the Danish administrative law.



in accordance with the Act on Access to Information Held by Administrative Organs. But confidential information cannot be disclosed beyond the stipulated cases pursuant to the applicable rules.

Based on the General Data Protection Regulation (GDPR), which came into force in May 2018, we must make you aware of the following on GUDP's handling of personal data: Applicants for GUDP grants submit personal data in connection with the application and possibly in connection with the subsequent processing of applications. Failure to submit information means that the GUDP application cannot be processed, cf. Chapter 3 in the Consolidation Act. No. 23 of 4 January 2017 of the Green Development and Demonstration Programme (the GUDP Act).

The GUDP-secretariat and the GUDP-board deal with information in connection with the processing of applications and the project selection. Submitted material can be passed on to external experts outside the secretariat and to Innovation Fund Denmark (InnovationsFonden) in order to obtain scientific advice and assessment. In addition, personal data can be exchanged with experts in and outside the GUDP-secretariat in connection with follow-ups on the processing of GUDP projects.

When checking GUDP projects, personal data can be exchanged with other authorities in accordance with § 18 of the GUDP Act.

In addition to the submitted material, the GUDP-secretariat collects and stores personal data from publicly available sources in connection with information activities. The information is stored in the secretariat's archiving systems until the information is passed on to the Danish National Archives in accordance with Consolidation Act No. 201 of 28 September 2016 of the Archives Act. The information cannot be deleted.

As stated above, parts of the project applications are published and GUDP passes on the above-mentioned personal information to the InnovationDanmark database and the EU Commission's State Aid Register.

The GUDP-secretariat will keep the information for one year after submission in closed file systems, after which they will be deleted. The information is also stored in the Danish Agricultural Agency's case processing system until the information is passed on to the Danish National Archives in accordance with the Archives Act. The information cannot be deleted.

Requests for access to or rectification of personal data can be directed to the GUDP-secretariat's data controller, who is the secretariat's team leader. The GUDP-secretariat's lawyer is the associate data processor for the above-mentioned personal data stored by the Danish Environmental Protection Agency. The data controllers and data processors can be contacted via the GUDP-secretariat's e-mail GUDP@lbst.dk.